PRINCIPAL INVESTIGATOR COVER LETTER AND TERMS AGREEMENT



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Re: Biofuel Cells Based on Microbial Enzymes and Nanocomposite Materials for Powering Microelectronic Devices

I, Principal Investigator (PI) Prof. **Evgeny Katz**, hereby acknowledge that I have submitted a proposal to 2022 U.S.-Ukraine Alternative Energy Research Competition RFP. I will be collaborating with Prof. **Mykhailo Gonchar** of Institute of Cell Biology, NAS of Ukraine.

If awarded, I undertake this research in good faith and will uphold my portion of the collaborative work as proposed in the submission.

I attest that the information contained in this proposal is truthful and that it has been prepared with the full knowledge and consent of Ms. Shannon Robinson, leadership representative of Clarkson University.

I affirm that I have read and understand CRDF Global's policies and standards outlined within the 2022 U.S.-Ukraine Alternative Energy Research Competition RFP, including CRDF Global's Plagiarism Policy¹. I agree to adhere to CRDF Global's Plagiarism Policy, and understand that CRDF Global will not provide funding to an application in which plagiarism exists. If plagiarism is detected, penalties may be imposed up to and including my exclusion from this funding opportunity and barring my participation in future funding opportunities.

Principal Investigator Signature: professor Evgeny Katz

February 03, 2022

February 02, 2022

Date

Institution Leadership Representative Signature: Shannon Robinson Associate Vice President for Research & Technology Transfer / Executive Director of Institutional Planning

¹ For more information, please see CRDF Global's Plagiarism Policy

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Re: Biofuel Cells Based on Microbial Enzymes and Nanocomposite Materials for Powering Microelectronic Devices

I, Principal Investigator (PI) Prof. **Mykhailo Gonchar**, hereby acknowledge that I have submitted a proposal to *2022 U.S.-Ukraine Alternative Energy Research Competition RFP.* I will be collaborating with Prof. **Evgeny Katz** from Clarkson University (Potsdam, NY, USA).

If awarded, I undertake this research in good faith and will uphold my portion of the collaborative work as proposed in the submission.

I attest that the information contained in this proposal is truthful and that it has been prepared with the full knowledge and consent of Prof. **Andriy Sibirny**, leadership representative of Institute of Cell Biology, NAS of Ukraine.

I affirm that I have read and understand CRDF Global's policies and standards outlined within the 2022 U.S.-Ukraine Alternative Energy Research Competition RFP, including CRDF Global's Plagiarism Policy². I agree to adhere to CRDF Global's Plagiarism Policy, and understand that CRDF Global will not provide funding to an application in which plagiarism exists. If plagiarism is detected, penalties may be imposed up to and including my exclusion from this funding opportunity and barring my participation in future funding opportunities.

Head of Department of Analytical Biotechnology,	
Prof., Dr., DrSci Mykhailo Gonchar	February 01, 2021
Principal Investigator Signature	Date
А КЛИТИ	
Director of Institute of Cell Biology, NAS of Ukraine,	14 10
Prof., Dr., DrSci Andrey Sit	birny February 01, 2021 Date
M JIL BID	

²For more information, please see CRDF Global Plagarism Policy

CRDF GLOBAL COVER SHEET

GENERAL PROJECT INF	GENERAL PROJECT INFORMATION										
Project Title (not to exceed 25 words)	Biofuel Cells Based on Microbial Enzymes and Nanocomposite Materials for Powering Microelectronic Devices										
Amount Requested	Total	U.S. Sub-Team	m Ukrainian Sub-Team								
(excludes cost-shares)	\$80,000	\$30,000	\$50,000								
	Research Area	Sub-Research Area	Research Focus								
Research Categorization ³	1. Natural Sciences	1.6 Biological Sciences; 1.4 Chemical Sciences.	1.6.4 Biochemistry and Molecular Biology; 1.4.5 Electrochemistry.								
Research Involves use of H	luman/Animal Subjects	None	Project Duration	12							

UKRANIAN SUB-TEA	M								
INSTITUTION INFOR	MATION								
Institute Name	Institute of Cell E	iology, NAS of L	Ikraine	Institutio	n Type	Re	search	Institute	
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E-mail	sibirny@cellbiol.	<u>viv.ua</u>	Telephone #	+380322	612108				
Total number of Ukrain collaborators	nian sub-team me	mbers, including	Ukrainian PI, gradua	ate studen	ts, secon	dary		8	

³ Please reference the CRDF Global Research Areas document found here: <u>http://www.crdfglobal.org/sites/default/files/crdf-global-research-areas_updated-june-2015.pdf</u>

U.S. SUB-TEAM									
INSTITUTION INFOR	MATION								
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PRINCIPAL INVESTI	GATOR INFORM	ATION							
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U.S. Residency Status	Legal Citizen		Gender	Male					
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Have you received a grant under a previous CRDF Global program or been a participant in a CRDF Global-funded workshop?					No				
If yes, please list prog workshop title in the fo									
Total number of U.S. t	eam members, ind	cluding PI, stude	ents, and secondary c	ollaborate	ors		3		

⁴ If you do not know your congressional district, please click on this <u>link</u> and search for your representative using your Institution's zip code.

PROJECT ABSTRACT Should not exceed 350 words

Biofuel cells (BFCs) are the novel bioelectrochemical devices that use living microorganisms or their enzymes to generate electricity via enzymatic oxidation of organic substrates, including industrial waste products. The aim of the project is a development of new enzymatic BFSs based on microbial enzymes coupled with catalytic nanocomposite materials. Cheap technological byproducts (alcohols, formaldehyde, phenols) or waste compounds found in environment will be used as the "fuel" for the power production.

The scientific and technical objectives of the project are defined as follows: 1) cultivation of yeast and fungal cells; isolation and purification of alcohol oxidase and laccase; 2) synthesis and characterization of nanocomposite materials with mediator or enzyme-like activity; 3) construction, characterization of laboratory prototypes of BFCs, based on purified oxidoreductases and nanocomposites, and their testing for the powering of microelectronic chips.

The novelty of the project is based on the idea of combining the microbial oxidoreductases with the mediator systems coupled with nanocomposite materials. The obtained nanocomposites will be used for various aims: as electron transfer mediators, matrices for immobilization of biocatalysts, and nanozymes (such as artificial peroxidases) for biocathode construction.

The innovation of the project is based on preparation of a small size (millimeter size) biofuel cell for powering microelectronic chips for various sensors and to provide self-powered operation by extracting power from the environmental sources in remote locations ("install-and-forget"). The sensing will be followed by wireless information transfer, also powered by the installed biofuel cell. The systems will find future applications for environmental monitoring and homeland security. The advantages of a biocatalytic system over lithium batteries will be particularly pronounced upon miniaturization of the power supply.

This project is based on already established collaboration between scientists of the Institute of Cell Biology, NAS of Ukraine and the Department of Chemistry and Biomolecular Science of Clarkson University in Potsdam (NY, USA). The scientist from Ukrainian team is already performing research at Clarkson University, USA.

PROJECT NARRATIVE Should not exceed ten (10) pages. Text should be Arial font size 10 within 1-inch margins

The search for new renewable energy technologies and approaches for the utilization of technogenic byproducts (often toxic) are the main problems of modern science. In this regard, the development of biofuel cells (BFCs) based on microbial enzymes capable of utilizing the by-products as substrates with the simultaneous generation of electricity can help to solve these two actual problems – cheap renewable energetics and environmental protection [Beretta *et al.*, 2019; Das *et al.*, 2014].

The aim of the project is a development of new BFSs based on purified microbial enzymes, immobilized onto electroconductive nanocomposite materials. As substrates of enzymatic reactions and primary energy sources, it is planned to use low-cost and often toxic technogenic by-products for which there is a problem of their utilization, in particular: methanol and formaldehyde – for the yeast alcohol oxidase (AO) and phenol derivates – for the fungal laccase. As the sources of the enzymes, there will be used mutant yeast strains and classically selected funhal producers, constructed/selected at the Department of Analytical Biotechnology (DAP), Institute of Cell Biology, NAS of Ukraine. The mentioned above enzymes have useful biotechnological characteristics (high thermostability, high affinity for target substrates) and are easily isolated by simple and cheap procedures, developed at DAP.

The novelty and innovative power of the project are based on idea of combining the yeast and fungal enzymes with the new mediator systems (based on synthetic dyes, ferrocene, cyanoferrate derivatives, etc.), and nanocomposite materials coupled with mediator systems. The obtained nanocomposites will be used for various aims: as electron transfer mediators, as matrices for immobilization of biocatalysts and as nanozymes (such as artificial peroxidases) for biocathode construction. The important novelty is the functional integration of the BFCs producing electric power and microelectronic devices (sensor chips) consuming the produced power.

The aim of the proposed scientific project is a combination of fundamental science with applied biotechnology using advanced interdisciplinary approaches.

The scientific and technical objectives of the project are defined as follows:

- 1. Cultivation of the yeast and fungal cells; isolation and purification of oxidoreductases (alcohol oxidase and laccase) promising biocatalysts for the construction of biofuel cells (BFCs);
- 2. Synthesis and characterization of nanocomposite materials with mediator or enzyme-like activity;
- 3. Construction of laboratory prototypes of enzymatic BFCs based on purified oxidoreductases and nanocomposites, and studying their operational parameters;
- 4. Construction of the small (mm²) BFCs compatible with the size of microelectronic chips and activation of the microelectronic sensors with the power produced by the BFCs.

This project is based on collaboration between scientists of the Department of Analytical Biotechnology of the Institute of Cell Biology, NAS of Ukraine (Lviv, UA) and the Department of Chemistry and Biomolecular Science of Clarkson University in Potsdam (NY, USA). Scientific partners (UA and US) are carrying out innovative scientific research in the complementary fields. Both teams are high-level professionals in various fields, including microbiology, enzymology, nanotechnology, biotechnology, analytical chemistry, and bioelectrochemistry. Notably, the teams have a long-term collaboration and Dr. Oleh Smutok (UA collaborator) is presently performing research at Clarkson University (US team) [https://www.clarkson.edu/people/oleh-smutok].

Biofuel cells (BFCs) are the novel bioelectrochemical devices that use living microorganisms or their enzymes to generate electricity through enzymatic oxidation of organic substrates [Gamella *et. al.*, 2018]. This innovative technology has a number of potential applications: generation of renewable energy, especially for powering electronic mini- and microdevices [Bollela *et al.*, 2020], production of bio-hydrogen, wastewater treatment, water desalination, etc. [Logan *et al.*, 2019].

Notably, comparing to the fuel cells based on metal catalysts, the BFCs are capable of converting chemical energy into electricity using biological catalysts. This new technology offers several advantages over traditional batteries, including the use of renewable and clean catalysts, the selectivity of the reaction, fuel flexibility, and the ability to operate at milder temperature [Ganzales–Solino & Di Lorenzo, 2018]. Taken together, all these advantages lead to an economically viable process. While traditional fuel cells designated as "low temperature" operate at approximately 80 °C, biofuel cells can operate in the range of 20 to 40 °C and at physiological pH. These properties make BFCs an interesting alternative especially for devices that need to operate at milder temperatures and conditions [Katz (Ed.) 2014]. Moreover, the variety of reactions that can be catalyzed by enzymes enables the use of various fuel types. BFCs are highly promising due to combination of desirable technological features, such as efficiency, selectivity and biocompatibility of the enzymes and therefore, they could be used to power implantable medical devices [Neifar *et al.*, 2018].

The BFCs operational principle is based on the catalytic oxidation of organic substrates by a biocatalyst, which produces the electrons that are transferred to the anode, generating an electric current. A biocatalytic cathode performs O₂ reduction, thus, closing the electric circle. A decisive influence on the BFCs performance

is the efficiency of electron transfer from the substrate ("fuel") through biocatalysts to the electrode surface (anode and cathode) [Gunawardena & Fernando, 2008; Sayed & Abdelkareem, 2017]. There are two main types of BFCs – microbial and enzymatic. Although microbial BFCs are characterized by a low power density (up to 0.56 mW/cm² - [Ren *et al.*, 2016], they exhibit high stability (up to several months); they are cheaper than enzymatic BFCs and capable of simultaneous utilization of different substrates. However, their widespread use is limited by a low current density caused by a slow electron transport through cell membranes to the anode. The enzymatic BFCs typically have a much higher current density, but capable only of partial oxidation of the fuel and have a limited shelf life (7-10 days) due to a low stability of the enzymes [Bullen *et al.*, 2006].

The main aim of the current project is a construction of new enzymatic BFCs on the basis of purified enzymes from the yeast and fungal sources, immobilized onto electroconductive nanocomposites. Low-cost and often toxic technogenic by-products, for which utilization is actually a problem, will be used as substrates of enzymatic reactions (energy sources). Therefore, the project intends to combine two scientific goals – cheap renewable energetics and environmental protection. The designed biofuel cell, after their characterization, will be tested for powering microelecronic sensor devices. For this purpose, we will specifically design small-size (a few mm²) biofuel cells compatible by their size with the electronic chips.

The BFCs, based on using purified alcohol oxidase (AO), isolated from the cells of thermotolerant methylotrophic yeast *Ogataea polymorpha*, will be used for generation of electrical energy due to oxidation of methanol or/and formaldehyde – the toxic technogenic pollutants of wood, paint and the other industries. A peculiarity of AO-overproducing cells of the mutant yeast strain of *O. polymorpha* C-105 (*gcr1 catX*) is an impairment of glucose catabolite repression and catalase deficiency [Gonchar *et al.*, 1998], as well as the thermostability of the enzymes (including AO). AO is a FAD-containing octameric protein with a wide substrate specificity (oxidation of primary alcohols and formaldehyde), which uses oxygen as an electron acceptor. The oxidation of methanol catalyzed by AO and electrochemical current generation looks as follows:

1) CH₃OH + **AO-**FAD \rightarrow **AO-**FADH₂ + CH₂O (enzymatic reaction);

2) **AO-**FADH₂ + 2 mediator_{ox} \rightarrow **AO-**FAD + 2 mediator_{red} + 2H⁺ (mediator reaction);

3) 2 mediator_{red} \rightarrow 2 mediator_{ox} + 2 e⁻ (electrochemical reaction).

In general, this enzyme-electrochemical scheme is typical for the other BFCs based on oxidases with a difference in the nature of their cofactor, substrate, enzymatic product or mediator type.

For the BFCs, based on purified laccase, phenol derivatives (a toxic waste product from the chemical and pharmacological industries) will be used as a "fuel" source. Laccase is a copper-containing enzyme with a wide range of substrate specificity and can use oxygen as an electron acceptor releasing water as a by-product [Tominaga *et al.*, 2014]. Laccase has a high redox potential (from 400 mV to 800 mV vs. Ag/AgCl reference electrode), making it a promising cathode biocatalyst [Mano *et al.*, 2018; Ghosh *et al.*, 2019]. The ability of laccase for direct electron transfer to electrode modified with gold nanoparticles, is the basis for the design of mediator-free enzymatic BFCs [Kavetskyy *et al.*, 2019].

In the current project, electroconductive nanomaterials based on noble and transition metals or their hybrid forms [Holade *et al.*, 2014], as well as carbon materials (graphene derivatives [Koushanpour *et al.*, 2016], carbon nanotubes and carbonated cellulose fibers) will be used for increasing the current density at the electrode. To improve the efficiency of the electron transfer in the BFCs, we plan to use nanocomposites – synthesized nanomaterials, additionally modified by inorganic and organic electron-transfer mediators (synthetic dyes, cyanoferrate derivatives, etc.) [Zhao *et al.*, 2017]. Carbon-based materials, modified with noble and transition metals (electrodeposited Pt, Pt nanoparticles, hybrid metal nanoparticles), including peroxidase-like nanozymes, are planned to be used to improve the BFCs performance [Stasyuk *et al.* 2020]. The main operational parameters (current and power density, maximum operating time, etc.) for the constructed laboratory prototypes of enzymatic BFCs will be investigated. The application of nanocomposites with bound enzymes (AO or laccase) will allow us to significantly improve the catalysis rate, both of the anode and cathode reactions, as well as will increase the operating time of the constructed BFCs up to several months [Gervasio *et al.* 2020]. So, the expected specific power densities of constructed enzymatic BFCs will be in the range from 1.2 to 2.2 mW·cm⁻².

The realization of the current project will allow combining original ideas, the novel technological approaches and experimental skills of the UA-team (specialists in the field of microbiology, enzymology, analytical biotechnology, nanotechnology) and US-group (specialists in the field of bioelectrochemistry, analytical chemistry, nanochemistry). The useful properties of the isolated yeast and fungal enzymes as well as of bionanocomposites will allow the construction of new enzymatic BFCs with improved characteristics. The expected results of the project are beyond the current level of technology and therefore are innovative and transformative.

Milestones

Task 1. Cultivation of yeast and fungal cells; isolation and purification of oxidoreductases (alcohol oxidase and laccase) – promising biocatalysts for the construction of biofuel cells (BFCs)

1.1 Screening of mushroom fungi by their ability to overproduce extracellular laccase in liquid cultures. Screening of more than 25 strains of the mushrooms belonging to the genera *Trametes* and *Phallus*, available in the collection of the Institute (UA), for the level of extracellular laccase activity in liquid cultures *in vitro* will be performed. The best enzyme's overproducers will be selected for further investigation.

1.2 Cultivation of mutant yeast cells and selected mushrooms, overproducing the target oxidoreductases, alcohol oxidase and laccase.

The optimal conditions for the cultivation of the producers will be determined, ensuring the maximum yield of the target enzymes (composition of the culture medium, intensity of aeration, temperature, time of cultivation, *etc.*). To maintain the highest activity of the enzymes inside the cells (AO) or in extracellular culture (laccase), the biomass (or extracellular culture) of the organisms will be frozen or lyophilized under optimal conditions.

1.3 Isolation, purification, enzymatic and electrochemical characterization of the enzymes: alcohol oxidase (AO) and laccase.

Enzymes, isolated from the mutant yeast cells and the culture medium of the selected fungi will be concentrated and/or purified using ion exchange and/or affinity chromatography. Purified preparations of AO and laccase will be investigated using different approaches: electrophoretic (to test their purity), spectrophotometric (to determine kinetic parameters – K_M and k_{cat}) and electrochemical (activity toward electron-transfer mediators, determination of redox potential).

Task 2. Synthesis and characterization of nanocomposite materials with mediator or enzyme-like activity

- 2.1. Synthesis of nanomaterials based on noble and transition metal nanoparticles by chemical reduction. The nanosized materials of noble and transition metals or their hybrid forms (which will consist of 2-3 different noble or transition metals) will be obtained using chemical reduction from the corresponding ions. Citrate, ascorbate or sodium borohydride will be used as reducing agents in optimal ratios of reactants.
- 2.2. Carbon-based nanomaterial screening.

The different carbon materials (graphene derivatives, carbon nanotubes, carbonized lignocellulose fibers and their composites) will be characterized by cyclic voltammetry for testing their electrochemical performance in connection to the biocatalytic processes.

2.3. Synthesis of nanocomposite materials with high efficiency of electron transfer from biocatalyst to electrode and characterization of their electron-mediator activity.

To improve communication between the biocatalyst and the electrode, screening and additional modification of the synthesized nanomaterials by inorganic electron transfer mediators (synthetic dyes, electrically conductive films, ferrocene, cyanoferrate derivatives, etc.) will be carried out. The electrochemical characteristics of the obtained nanocomposite materials and their compatibility with BFC biocomponents will be investigated. For enzymatic BFCs, based on laccase, optimization of the methods for direct mediator-less transfer of electrons *via* the nanocomposites will be carried out.

2.4. Screening and synthesis of new nanocomposite materials possessing nanozyme (nanoperoxidase) activity. In order to create the novel BFC cathodes, the screening and synthesis of new nanomaterials with nanozyme (peroxidase) activity will be performed. The best selected nanozymes will be tested for their ability to reduce hydrogen peroxide at the electrode. The catalytic activity of the nanozymes will be compared to the process catalyzed by the microperoxidase (MP-11). The best catalytic species will be used in the next experimental steps.

Task 3. Construction, characterization of laboratory prototypes of BFCs, based on purified oxidoreductases and nanocomposites.

3.1. Investigation of the possibility of using selected nanomaterials as an immobilization matrix for covalent or physical binding of biocatalysts on the electrode surface.

In order to ensure a high local concentration of the BFC biocatalyst and to increase its stability, screening for covalent and physical immobilization of the purified preparations of AO and laccase on the electrode surface will be carried out. The nanosized carriers will be functionalized by carboxyl and amino groups using appropriate reagents (ω -mercaptohexadecanoic acid, cysteamine – depending on chemical nature of nanomaterial) followed by covalent immobilization of the corresponding enzymes.

- 3.2. Screening of fixing polymer membranes of different nature.
 - Screening of various polymeric membranes (electrodeposited, photopolymeric, dialysis) will be carried out for their ability to reliably retain enzymes on the surface of the electrodes, a good electrical conductivity and a high permeability for enzymes' substrates.
- 3.3. Investigation of the basic operational parameters of enzyme-based BFCs.

The basic operational parameters will be investigated for the designed laboratory prototypes of enzymatic BFCs, based on AO and laccase: current density, power density and maximum operating time. The most effective BFC variants will be selected.



Task 4. Testing of the best selected BFCs for the powering of microelectronic chips.

Fig. 1. (*Left photo*) The experimental setup for studying the microelectronic device operation and characterizing its different components. (*Right photo*) An example of the electronic microchip powered by a small size biofuel cell. A similar setup will be used in the proposed project when the new biofuel cell is integrated with a sensor microelectronic chip.

Figure 1 shows the setup used to test the different components individually and also, when configured as a complete system, autonomous system operating without external power. The shown setup includes the biofuel cell operating with an aqueous model solution. A sourcemeter (Keithely 2401 Sourcemeter) is used to characterize the sensor by measuring current consumption at a given voltage and can also accelerate tests by pre-charging the supercapacitor. Another sourcemeter is used to characterize the energy harvesting of the sensor by mimicking a biofuel cell. An electrometer (Keithely 6514 System Electrometer) is used to monitor 1.2 V from the 'Power Management' chip to confirm that the sensor works properly by providing voltage to the load circuits. To avoid impacting the low-power circuits, a high impedance electrometer is used. To monitor the voltage of the supercapacitor (Vcap), the node is connected to an analog buffer (Texas Instruments, OPA4354AIDR) powered by a power supply (Agilent E3630A) to avoid influence on this low-power circuit. Then, the voltage is converted to a digital format by an analog-to-digital converter module (National Instruments, NI-9229 & NI cDAQ-9171). The results are displayed on the monitor using a LABVIEW program. A laptop and a custom light programing module are used to generate an optical signal and program the sensor. A universal software radio peripheral (USRP) (Ettus, USRP X310), a custom antenna and the same laptop are used to wirelessly download the measured data from the sensor. After the biofuel cell and microelectronic sensor are characterized in terms of current, voltage and power, the biofuel cell will be connected to the microelectronic sensor (Figure 1, left) and the sensor will be operated autonomously powered only from the biofuel cell.

Autonomously operating microelectronic devices continuously powered by small biofuel cells will be beneficial for various environment-monitoring functions, including biosensors designated specifically for homeland security and military applications. Further miniaturization of the sensor device and connected biofuel cell would allow their operation as implantable biomedical devices. The microelectronic device can accommodate the biocatalytic electrodes directly in the structure of the device, thus further reducing the device size and eliminating unnecessary wiring connecting the biofuel cell and the electronic device.

Ukrainian and USA partners (UA and US) have all the necessary specialized equipment to carry out the planned research under the current project.

UA has the material and hardware support for the cultivation of the yeast and fungal cells (culture media, sterile boxes, autoclaves, thermostats, thermostatic shakers, disintegrators, centrifuges "Sorvall", "Eppendorf", lyophilizer "Christ alpha 1-2 LDplus"; the equipment for isolation, purification of enzymes and study of their

physicochemical and kinetic characteristics (chromatographic columns, chromatographic carriers, apparatus for vertical electrophoresis of proteins VE-2M Helicon, peristaltic pumps, fraction collectors, refrigerators, spectrophotometers "SHIMADZU UV-1650", fluorometer "Quantech filter"); equipment for synthesis and characterization of micro- and nanoparticles (atomic force microscope "Solver P47-PRO (NT-MDT)", scanning electron microscope "SEM-microanalyser REMMA-102-02", transmission electron microscope "REM-100", fluorescence microscope "Axio Lab. A1", optical microscopes); apparatus for conducting electrochemical studies (commercial electrodes of different configuration and different surface area values, electrochemical cells, potentiostats "CHI 1200A" and "PGstat16"; potentiostat/galvanostat MTech SPG-500L, enzymatic kits for methanol, formaldehyde and phenols assay to evaluate the fuel substrate consumption in BFCs.

US has a high-tech modern equipment for the design and operation of BFCs: Electrochemical equipment: Potentiostat/Galvanostat (Autolab, ECO Chemie) PGSTAT12 (two sets) - with additional modules (FRA2 impedance measurements module; BIPOT bipotentiostat module; ADC750 fast sampling module for fast chronoamperometric measurements; SCAN-GEN fast potential scanning module for ultra-fast cyclic voltammetry; ECD module for low current measurements on nanoelectrodes; FI20 filter and integrator module for chronocoulometric measurements; Rotating disk-ring electrode setup); Surface plasmon resonance instrument (Autolab, ECO Chemie) SPRINGLE connected with the potentiostat/galvanostat for in situ electrochemical-SPR measurements; Quartz crystal microbalance (QCM) (Autolab, ECO Chemie) connected with the potentiostat/galvanostat for in situ electrochemical-QCM measurements; Optical Contact Angle and Surface Tension Meter (KSV Instrument Ltd) Modular CAM 200 connected with the potentiostat/galvanostat for in situ electrochemical-contact angle measurements: Orion 3-Star pH Meter: Keithley 236 Source-Measure Unit: ELISA instrument; SpectraMax i3x Microplate Reader (Molecular Devices, LLC., CA, USA). Optical/biochemical equipment: Shimadzu Spectrophotometer UV-2401PC; Barnstead Nanopure Diamond Lab Water System; Refrigerated Universal Centrifuge (Labnet) Z300K; Clinical and Educational Incubator (Barnstead); Excellence XS Analytical Balance (Mettler). Available shared equipment (University facilities): AFM, STM, SAXS and WAXS techniques, HPC, SLS, DLS, particle size analyzers, high resolution SEM, TEM, NMR, Perkin Elmer LS 50B Fluorescence spectrometer, and mass spectrometer for proteomic analysis, Leica TCS SP5 II Laser Scanning Confocal Microscope, dynamic light scattering instrument (Malvern Zetasizer Nano), etc. US team has a great experience in developing small BFCs for powering electronic mini- and microdevises (Fig. 1).

The list of project authors/participants:

US-Team:

Prof. Evgeny Katz; Dr. Oleh Smutok; MS Daniel Massana (PhD student) MS Paulina Wells (PhD student) MS Ronaldo Badenhorst (PhD student)

UA-Team:

Prof. Mykhailo Gonchar; PhD Nataliya Stasyuk; PhD Galina Gayda; PhD Olha Demkiv; PhD Andriy Zakalskiy; DrSc Oleksandr Soldatkin MS Oksana Zakalska; MS ANNA MOROZ (PhD student from October 2022) BS Daryna Mruga (graduate student).

REFERENCES CITED

This section must only include bibliographic citations and not be used to provide parenthetical information outside of the Project Narrative

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STUDENT/POST-DOCTORAL RESEARCHER MENTORING PLAN This should not be used to circumvent the Project Narrative page limit

Ukrainian Sub-Team Participant

A young researcher Bohdan Pshoniuk graduated with a Master Degree from the Stepan Gzhytskyi National University of Veterinary Medicine and Biotechnologies in 2020. We plan to expand his level of knowledge and experience in related fields, namely, Molecular Biology and Analytical Biotechnology. We encouraged Bohdan to attend lectures at the Ivan Franko National University of Lviv and the Institute of Cell Biology, NAS of Ukraine for additional training courses: Genetic Engineering, Bioinformatics, Molecular Biology, and a special training course on Microbial Protein Production. Attending by the young researcher new disciplines will ensure his personal and professional growth. He will receive a thorough knowledge in modern protein bioengineering: selection of protein overproducers, immobilization of the enzymes to create bioreactors, acquaintance with modern fundamentals of protein engineering, approaches to obtaining artificial, semi-synthetic proteins.

Publications and presentations are expected to result from the work supported by the grant. These will be prepared under the direction of Prof. Gonchar M. and in collaboration with researchers from his team in the Institute of Cell Biology. Bohdan Pshoniuk will receive guidance and training in the preparation of manuscripts for scientific journals and presentations at conferences. Bohdan Pshoniuk will be encouraged to travel to attend scientific meetings and conferences, and to present his research in the form of seminars or poster presentations. It will promote his social activity and formation of high efficiency and a responsible attitude to the educational and scientific process. Bohdan will receive appropriate social and psychological counseling and support from the Department staff if necessary.

Technology Transfer activities will include regular contact with researchers in the Institute of Cell Biology. Young researcher Bohdan Pshoniuk will be given an opportunity to become familiar with the university-industry relationship including applicable confidentiality requirements and preparation of invention disclosure applications.

All this will help Bohdan in participation in research projects of the Department of Analytical biotechnology, Institute of Cell Biology, and will be a prerequisite for continuing his studies as a PhD student for his future career.

STUDENT/POST-DOCTORAL RESEARCHER MENTORING PLAN This should not be used to circumvent the Project Narrative page limit

US Sub-Team Participants

As a group, we have been very successful in the mentorship of junior researchers, as indicated by their successful placement. Dr. Marcos Pita, the first postdoc in Dr. Katz's group at Clarkson University, has received a tenure-track position in Spain, after being rated No.1 on the national competition list, later he received tenure and promotion to the next academic level. Another postdoc, Dr. Vera Bocharova, received the prestigious Wigner scholarship in Oak Ridge National Laboratory; she is presently a head of a research group. Dr. Jan Halámek received a tenure position of the Director of the Forensic Science Institute at the Texas Tech University. Dr. Roberto Luz returned back to Brazil where he received a tenure-track professor position. The most recent postdoc, Dr. Maria Gamella, returned back to her home country (Spain) where she received an academic position. A few more postdocs found jobs in industry in the USA. Dr. Nataliia Guz is in the process of getting an academic position after 2-year maternity leave. In the last five years, seven Ph.D. graduates from Dr. Katz's group received postdoc positions in universities and government labs, or industry jobs.

Our mentorship of junior researchers has included research guidance, and emphasizing independent creativity balanced in the framework of a group effort. In addition, all our students and postdocs receive support and advisement in preparing their CVs, web sites, job searches, career stages, job market environment and networking. This is accomplished in group and individual discussions. Presentations are scheduled in seminar/interview formats and then critiqued. We even discuss interview behavior and dress code.

Junior researchers are also exposed to our network of colleagues and seminar visitors, as well as attend and present at conferences. The former research networking has included joint work and co-authorship with leading researchers and their groups locally and at other institutions: most recently, with Prof. Joseph Wang



Dr. Natalija Guz (from the Katz group) received an award for poster the best at the presented "Soft conference: Magnetic Materials Systems", and

(UCSD; http://joewang.ucsd.edu/), Prof. Sergiy Minko (University of Georgia; http://nsmlab.com/), Prof. M.J. Schöning (Aachen University of Applied Sciences, https://www.fh-aachen.de/menschen/schoening/), Germany; Prof. D.M. Kolpashchikov (University Florida: of Central https://sciences.ucf.edu/chemistry/people/kolpashchikov-dmitry/), and many others (https://webspace.clarkson.edu/~ekatz/Collaborators.html). Generally, our publication record is in top journals, and high citation of articles (https://webspace.clarkson.edu/~ekatz/publications_most_cited.htm) has facilitated career development of graduate students and postdocs. Particularly, numerous highlights on our research on implantable bioelectronics and biosensors, including highlights in Nature, Science, Scientific American, New Scientist, New York Times, as well as in various magazines, radio and TV programs have encouraged students and postdoctoral scholars.

Postdoctoral researchers and graduate students of Dr. Katz have received numerous awards (including those from ACS, ECS, NYS and others) for research and presentation quality.

Specifically, with postdoctoral researchers, we have also encouraged development of communication and management skills by mentoring graduate and undergraduate students, and sharing leadership roles in the team, as well as preparing presentations, publications, and occasionally helping write grant proposals.

KEY PARTICIPANT INFORMATION FORM Complete ONE for each participant involved

TEAM MEMBER INFORM	IATION				Ukrainian Sub-Team Participant					
Last Name (surname)	Gonchar	First Name (Given)	Му	/khailo	Middle		o Middle Va		Vasylyovych	
Current Position	Head of Departi Analytical Biote	nent of chnoloav	Classification			roject	Researcher/Engineer			
Institute Name	Institute of Cell	Institute of Cell Biology, NAS of Ukraine								
Complete Mailing Address	14/16 Drahomanov str. Lviv					79005	Ukraine			
E-mail Address	mykhailo1952@	gmail.com		Telephone	e #		+380322612144			
Highest Degree/ Year Awarded	DrSc			Biochemis	stry		2001			
Gender	Male									
Description of project role (responsibilities, expertise, level of effort on project):										
Principal investigator, su	pervision of the	UA-team rese	earc	h, preparat	tion o	of scientific r	eports and international			

publications. Representation of the results on the international conferences and forums.

TEAM MEMBER INFORM	IATION	Ukrainian Sub-Team Participant								
Last Name (surname)	Gayda	First Name (Given)	Galina	Galina		dle	Zufarivna			
Current Position	Senior Researcher Classification of					roject	Researcher/Engineer			
Institute Name	Institute of Cell Biology, NAS of Ukraine									
Complete Mailing Address	14/16 Drahomanov str.			Lviv		79005	Ukraine			
E-mail Address	galina.gayda@c	<u>amail.com</u>	Те	Telephone #			+380322612144			
Highest Degree/ Year Awarded	Dr			Bioorganic chemistry			1983			
Gender	Female	Female								

Description of project role (responsibilities, expertise, level of effort on project):

Coordination and realization of experiments focused on microbiology (screening the fungal producers of laccase) and enzymology (isolation, purification and enzymatic characterization of alcohol oxidase, laccase). Biofunctionalyzation of the nanocarriers by purified enzymes for the construction of biocatalysts for biofuel cells. Screening of new nanocomposite materials possessing nanozyme (nanoperoxidase) activity. Participation in preparing scientific reports and international publications.

TEAM MEMBER INFORM	IATION				Ukrainian Sub-Team Participant					
Last Name (surname)	Zakalskiy	First Name (Given)	An	driy	Mid	dle	Yevstakhovych			
Current Position	Researcher		Cla	assification	on P	roject	Researcher/Engineer			
Institute Name	Institute of Cell	Institute of Cell Biology, NAS of Ukraine								
Complete Mailing Address	14/16 Drahomanov str. Lviv				79005		Ukraine			
E-mail Address	andriy.zakalskiy	Telephone #			+380322612144					
Highest Degree/ Year Awarded	Dr			Biochemis	stry		1985			
Gender	Male									
Description of project role (responsibilities, expertise, level of effort on project):										
Cultivation of mutant yea construction of laboratory	st cells and sele prototypes of mic	ected fungi, ov crobial biofuel	/erpr cells	roducing th and studyi	ie tar ing th	get oxidored	uctases. Participation in characteristics.			

TEAM MEMBER INFORM	IATION		Ukrainian Sub-Team Participant					
Last Name (surname)	Soldatkin	First Name (Given)	Ole	eksandr	andr Middle		Oleksiyovych	
Current Position	Leading Researcher Classification				on P	roject	Researcher/Engineer	
Institute Name	Institute of Molecular Biology and Genetics, NAS of Ukraine							
Complete Mailing Address	150, Acad. Zabolotnyi str.			Kyiv		03143	Ukraine	
E-mail Address	alex_sold@yah	oo.com		Telephon	e #		+380322612144	
Highest Degree/ Year Awarded	DrSc			Biotechnology			2019	
Gender	Male							

Description of project role (responsibilities, expertise, level of effort on project):

Screening and modification of the synthesized nanomaterials by electron transfer mediators (synthetic dyes, electroconductive films, ferrocene, cyanoferrate derivatives, etc.). Screening of various polymeric membranes (electrodeposited, photopolymeric, dialysis) with an ability to reliably retain enzymes on the surface of the electrodes, possessing a good electrical conductivity and a high permeability for enzymes' substrates. Participation in the design of new enzymatic biofuel cells and studying their operational parameters.

TEAM MEMBER INFORM	IATION		Ukrainian Sub-Team Participant							
Last Name (surname)	Stasyuk	First Name (Given)	Nat	aliya	Middle		Middle		Yevgenivna	
Current Position	Researcher		Cla	ssification	on P	roject	Researcher/Engineer			
Institute Name	Institute of Cell	Institute of Cell Biology, NAS of Ukraine								
Complete Mailing Address	14/16 Drahoma	nov str.			79005	Ukraine				
E-mail Address	stasuk_natalia@	@ukr.net		Telephone	e #		+380322612144			
Highest Degree/ Year Awarded	Dr			Physical (Chem	istry	2013			
Gender	Female									
Description of project role (responsibilities, expertise, level of effort on project):										
Synthesis of nanocompos	site materials with	h a high efficie	encv	of electron	tran	sfer from bio	catalyst to electrode and			

Synthesis of nanocomposite materials with a high efficiency of electron transfer from biocatalyst to electrode and characterization of their electron mediator activity. Structural and morphological characterization of the obtained nanomaterials. Testing the possibility of using selected nanomaterials as an immobilization matrix for covalent or physical binding of biocatalysts on the electrode surface. Participation in the design of laboratory prototypes of enzymatic and hybrid biofuel cells and studying their operational parameters.

TEAM MEMBER INFORM	IATION		Ukrainian Sub-Team Participant						
Last Name (surname)	Demkiv	First Name (Given)	Olha	à	Mid	/iddle Mykhailyvna			
Current Position	Researcher	classification				roject	Researcher/Engineer		
Institute Name	Institute of Cell Biology, NAS of Ukraine								
Complete Mailing Address	14/16 Drahomanov str.			Lviv		79005	Ukraine		
E-mail Address	demkiv@yahoo	.com	-	Telephone #			+380322612144		
Highest Degree/ Year Awarded	Dr			Microbiology			2013		
Gender	Female								

Description of project role (responsibilities, expertise, level of effort on project):

Screening of strains of the mushrooms for their synthesis of extracellular laccase in liquid cultures. Isolation, purification, enzymatic and electrochemical characterization of the laccase from the best enzyme producers. Synthesis of nanocomposite materials with a high efficiency of electron transfer from biocatalyst to electrode and characterization of their electron mediator activity. Participation in construction of laboratory prototypes of microbial biofuel cells and studying their functional characteristics.

TEAM MEMBER INFORM	ATION		Ukrainian Sub-Team Participant					
Last Name (surname)	Zakalska	First Name (Given)	Ok	sana	Mid	dle	Myroslavivna	
Current Position	Engineer		Cla	ssification	on P	roject	Technical/Scientific Support	
Institute Name	Institute of Cell	Biology, NAS	of Uk	kraine				
Complete Mailing Address	14/16 Drahomanov str. Lviv					79005	Ukraine	
E-mail Address	zakalska@yahoo.com Tele				е #		+380322612144	
Highest Degree/ Year Awarded	MS			Microbiolo	ogy		1986	
Gender	Male							
Description of project role (responsibilities, expertise, level of effort on project):								
Technical support in scree Participation in the synthe	ening, cultivation, esis of nanocompo	and stabilizati osite materials	on of for a	f mutant an application	nd sel in the	lected fungi - e constructior	overproducers of laccas. n of biofuel cells.	

TEAM MEMBER INFORM	MATION				Ukrainian Sub-Team Participant					
Last Name (surname)	Moroz	First Name (Given)	An	Anna		dle	Andriyivna			
Current Position	Engineer		Cla	assification	on P	roject	Researcher/Engineer			
Institute Name	Institute of Cell	Institute of Cell Biology, NAS of Ukraine								
Complete Mailing Address	14/16 Drahoma	Lviv 79005		79005	Ukraine					
E-mail Address	morozanna1998	morozanna1998@gmail.com Telepho					+380322612144			
Highest Degree/ Year Awarded	MS			Microbiolo	ogy		2021			
Gender	Female									
Description of project role (responsibilities, expertise, level of effort on project):										
Technical support in scree	ening, cultivation,	and stabilizati	on c	of mutant ar	nd se	ected fungi -	overproducers of laccas.			

Technical support in screening, cultivation, and stabilization of mutant and selected fungi - overproducers of laccas. Participation in the synthesis of nanocomposite materials for application in the construction of biofuel cells.

TEAM MEMBER INFORMATION				Ukrainian Sub-Team Participant		
Last Name (surname)	Mruga	First Name (Given)	Daryna	Middle	Middle	
Current Position	Engineer-Rese	earcher	Classification	on Project	Student	
Institute Name	Institute of Mole	Institute of Molecular Biology and Genetics, NAS of Ukraine				

Complete Mailing Address	150, Acad. Zabolotnyi str.	Kyiv	03143	Ukraine		
E-mail Address	darynamruga@gmail.com	Telephone #	+380322612144			
Highest Degree/ Year Awarded	Вс	Nanotechnology in Biology		2020		
Gender	Female					
Description of project ro	ble (responsibilities, expertise, level	of effort on proj	ect):			
Isolation, purification, stat	bilization of the enzymes.					

TEAM MEMBER INFORMATION				US Sub-Team Participant					
Last Name (surname)	Katz	First Name (Given)	Ev	Evgeny		geny M		dle	N/A
Current Position	Full Professor		Classification		assification on Project		Researcher/Engineer		
Institute Name	Clarkson Univer	Clarkson University							
Complete Mailing Address	Science Center, Department of Chemistry & Biomolecular Science, 8 Clarkson Ave			Potsdam,	NY	13699	USA		
E-mail Address	ekatz@clarkson.edu Telep		Telephone	Telephone #		1-315-268-4421			
Highest Degree/ Year Awarded	PhD Electrochemistry 1983					1983			
Gender	Male								
Description of project role (responsibilities, expertise, level of effort on project):									
Project management, supervision of the US-team research, preparation of scientific reports and international publications. Representation of the results on the international conferences and forums.									

TEAM MEMBER INFORMATION				US Sub-Team Participant									
Last Name (surname)	Smutok	First Name (Given)	Ole	Oleh		Oleh		Oleh		eh Middle		ldle	N/A
Current Position	Research Assoc	ciate	Classification		Classification on Project		Researcher/Engineer						
Institute Name	Clarkson University												
Complete Mailing Address	Science Center Chemistry & Bio Science, 8 Clark	er, Department of iomolecular Potsdam, irkson Ave		NY	13699	USA							
E-mail Address	osmutok@clark	<u>son.edu</u>		Telephone	е #		1-315-268-2389						
Highest Degree/ Year Awarded	DrSc Micro			Microbiolo	crobiology 2019		2019						
Gender	Male												
Description of project ro	ole (responsibilitie	es, expertise, l	evel	of effort on	proj	ect):							

Planning, coordination, and realization of experiments related with electrochemistry (construction and characterization of microbial, enzymatic biofuel cells on the base of microbial cells, oxidoreductases, and nanocomposite materials). Preparation of scientific reports and international publications.

TEAM MEMBER INFORMATION			US Sub-Team Participant				
Last Name (surname)	Daniel	First Name (Given)	Massana		ssana Middle		N/A
Current Position	PhD student		Classification		assification on Project		Student
Institute Name	Clarkson Univer	sity					
Complete Mailing Address	Science Center, Department of Chemistry & Biomolecular Science, 8 Clarkson Ave.			NY	13699	USA	
E-mail Address	masim@clarksc	masim@clarkson.edu Telephon			е #		1-347-524-3426
Highest Degree/ Year Awarded	BA Chemistry 2017					2017	
Gender	Male						
Description of project role (responsibilities, expertise, level of effort on project):							
Performing electrochemical experiments on modified electrodes.							

TEAM MEMBER INFORMATION				US	US Sub-Team Participant						
Last Name (surname)	Paulina	First Name (Given)	We	Wells		Wells		lls Middle		ldle	N/A
Current Position	PhD student	t Classification on Project Student		Classification		assification on Project		Student			
Institute Name	Clarkson Univer	Clarkson University									
Complete Mailing Address	Science Center, Department of Chemistry & Biomolecular Science, 8 Clarkson Ave.		NY	13699	USA						
E-mail Address	kulpapk@clarkson.edu Teleph		Telephone #			1-347-524-3426					
Highest Degree/ Year Awarded	MS			Biotechno	ology		2018				
Gender	Female										
Description of project role (responsibilities, expertise, level of effort on project):											
Optimization of bioelectrodes architecture and procedure of its formation.											

TEAM MEMBER INFORMATION				US Sub-Team Participant				
Last Name (surname)	Ronaldo	First Name (Given)	Badenhorst		t Middle		N/A	
Current Position	PhD student	PhD student Classification on Project Student		Classification		ssification on Project		Student
Institute Name	Clarkson Univer	Clarkson University						
Complete Mailing Address	Science Center, Department of Chemistry & Biomolecular Science, 8 Clarkson Ave.			NY	13699	USA		
E-mail Address	badenhrw@clarkson.edu Telephon		Telephone	one #		1-347-524-3426		
Highest Degree/ Year Awarded	MS			Chemistry	,		2019	
Gender	Male							
Description of project role (responsibilities, expertise, level of effort on project):								
Synthesis and characterization of binding linkers for covalent immobilization of biomolecules on the surface of different type electrodes.								

CRDF GLOBAL BUDGET NARRATIVE FORM

Describe and justify the expenses included in each budget line item. If a category doesn't apply to your budget, please insert N/A for "not applicable" in the space provided.

<i>L</i>	udgel, please insert w/A for hol	applicable in the sp	
Institution Name:	Institute of Cell Biology, NAS of Ukraine	Applicant type:	Primary Institution
Individual Financial Sup Describe the level of effor fringe benefits included.	port (IFS) t projected for the PI and other the PI and other the PI and other the PI and other the project of	eam participants. Pr	ovide justification for pay rate and any
Gonchar Mykhailo Vasy commit 12 caler Gayda Galina Zufarivna dedicate 45 % o Zakalskiy Andriy Yevsta and dedicate 30 Stasyuk Nataliya Yevge dedicate 48.5% Demkiv Olha Mykhailyv dedicate 48.5% Soldatkin Oleksandr Ole and dedicate 48 Zakalska Oksana Myros calendar months Moroz Anna Andriyivna months and dedicate	Iyovych will work as a Principal dar months and dedicate 48 % of will work as a Researcher for this f her working time during this pe ikhovych will work as a Researcher % of his working time during this nivna will work as a Researcher of her working time during this p na will work as a Researcher for of her working time during this p sksiyovych will work as a Resea .5% of her working time during the lavivna will work as a technical/ s and dedicate 20 % of her working will work as a graduate research icate 17.2 % of his working time	Investigator/Project of his working time d is project and will co riod; cher for this project a s period; for this project and eriod; this project and will eriod; archer for this project nis period; scientific assistant for ng time during this period.	Director for this project and will luring this period; ommit 12 calendar months and and will commit 12 calendar months will commit 12 calendar months and commit 12 calendar months and et and will commit 12 calendar months or this project and will commit 12 beriod roject and will commit 12 calendar
Mruga Daryna will work a	as a graduate research assistant	for this project and	will commit 12 calendar months and
Equipment, Supplies and Justify the purpose and co such as "supplies" or "ser	d Services (ESS) ost rationale of each ESS line iter vices" are not acceptable. Pleas	n included in the buc itemize.	dget. General or non-descript line items
We request an amount of Planar "DropSens" electr gold screen printed elect 200.0), glass electrochen of model biofuel cells. Th price including the costs f	\$2,276 for the General Supplies odes (4 mm gold - \$ 250.0, and 4 rode (\$ 425.0), platinum screen nical cells (\$ 250.0) and Amicon he price of each position of supp for transportation to Institute.	and Expendable M 4 mm platinum - \$ 25 printed electrode (centrifugal filter unit lies and materials is	aterials. 50.0), glass carbon electrode (\$ 231.0), \$ 450.0), copper working electrode (\$ (\$ 220.0) will be used for construction s calculated as the producer's catalog
Travel Explain the need for trave domestic and foreign trav	I - how the travel will benefit the el. Break down by airfare, hotel,	project's aims - and per diem, etc.	your calculations of travel costs for
We request \$ 17494.0 for Dr. Stasyuk N., and Dr. I calculation is based on \$8 for 31 nights, \$160 Amou Government allowances i We request \$ 259.0 for do attendance at the Interna	foreign travel from Lviv to the C Demkiv O. are suggested to atte 50 Amount airfare per person, \$1 int visa fee per person and \$60 n effect at the time of travel. Demestic travel as Conference Re- tional Scientific Conference (Ukr	larkson University (F nd U.S. PI laborator 51 Amount Internati Amount medical ins gistration Fees \$ 200 aine).	Potsdam, NY, USA). DrSc Gonchar M., ry for collaborative work. The financial onal lodging/Per Diem rates per person surance per person based on the U.S. 0 and Other Travel Expenses \$59.0 for
Indirect Costs (IDCs) Justify indirect costs % of IDC certification is applica	the total sub-team direct expensable.	ses requested. Indic	ate if a NICRA or other institutional
Indirect costs (10 %) will and mail), office and acco	be used for the cover of commur punting expenses.	nal payments, comm	unication items (internet, telephone
Cost Share (optional) If applicable, describe the to.	cost share that is being underta	ken. Describe what	items the cost-share will be applied
Not applicable			

CRDF GLOBAL BUDGET NARRATIVE FORM

Describe and justify the expenses included in each budget line item. If a category doesn't apply to your budget, please insert N/A for "not applicable" in the space provided.

Institution Name:	Clarkson University	Applicant type:	Primary Institution				
Individual Financial Supp Describe the level of effort fringe benefits included.	ort (IFS) projected for the PI and other to	eam participants. Pro	ovide justification for pay rate and any				
Funding is requested for on project.	Funding is requested for one Postdoctoral Research Associate to devote approximately 10% effort toward this project.						
Funding is also requested for one part-time graduate student who will also devote approximately 20% effort toward this project.							
Fringe benefits are charged	I on the Research Associate's	salary at the anticipa	ited rate of 29.1%.				
Equipment, Supplies and	Services (ESS)						
Justify the purpose and cos such as "supplies" or "servi	t rationale of each ESS line iten ces" are not acceptable. Pleas	n included in the bud se itemize.	get. General or non-descript line items				
\$1,050 is requested for the	purchase of the lab consumab	les required for the c	completion of the proposed project.				
Travel Not applicable							
Not applicable							
Indirect Costs (IDCs)							
Justify indirect costs (iDCs) IDC certification is applicab	ne total sub-team direct expens le.	ses requested. Indica	ate if a NICRA or other institutional				
Indirect Costs are calculate Department of Health and H (MTDC), per DHHS Agreen project due to published pro cost sharing by Clarkson U Administrative Requiremen	d in accordance with Clarkson Human Services. Currently, Cla nent dated 03/11/20. If any rate ogram restrictions or sponsor p niversity. Clarkson's threshold ts, Cost Principles, and Audit F	University's Indirect arkson is using a rate other than the appr olicy, all unrecovered for equipment is \$5,0 Requirements for Fec	Cost Rate Agreement with the of 53% of modified total direct costs opriate negotiated rate is applied to a d indirect costs are designated as 000 as allowed by the Uniform deral Awards (UG 2 CFR § 200).				
Cost Share (optional) If applicable, describe the c to.	ost share that is being underta	ken. Describe what i	tems the cost-share will be applied				
Not applicable							

CRDF Global PROJECT MILESTONE PLAN (TEMPLATE/ SAMPLE) Copy template to complete. Information should match the proposal Project Narrative and Project Budget

Reporting Period (Complete for each quarterly segment applicable top project duration.)				ible Team
First Quarterly Re	porting Period		Mark all th	at apply
Milestone:	Description:	Associated Deliverable(s):	U.S. Sub- Team	Ukrainian Sub-Team
Cultivation of yeast and fungal cells; isolation and purification of oxidoreductases (alcohol oxidase and laccase) – promising biocatalysts for the construction of biofuel cells (BFCs)	Over 25 strains of fungi will be screened for their ability to produce laccase, and prospective producers of this enzyme will be selected. For cells of mutant strains of the yeast and selected fungi (overproducers of laccase), the cultivation conditions will be optimized. Enzymes, isolated from the mutant yeast cells and the culture medium of the selected fungi will be concentrated and/or purified using ion exchange and/or affinity chromatography. Purified preparations of AO and laccase will be investigated using different approaches: electrophoretic spectrophotometric and electrochemical.	Scientific report	\$3.750	\$8.500
Total Amount Requ	ested for this Reporting Period:	\$12,250	\$3.750	\$8.500
Second Quarterly	Reporting Period		Mark all a	that apply
Milestone:	Description:	Associated Deliverable(s)	U.S. Sub- Team	Ukrainian Sub-Team
Synthesis and characterization of nanocomposite materials with mediator or enzyme-like activity	The methods of synthesis and functionalization of metal nanoparticles will be optimized and more than 10 variants of mono- and bimetallic nanoparticles hybrids (based on noble and/or transition metals) will be obtained. Among newly synthesized nanomaterials, artificial peroxidases (nanoperoxidases) will be selected. Conductive carbon materials – derivatives of graphene, carbon nanotubes and carbonated cellulose fibers will be tested and characterized. For the obtained nanomaterials, their physicochemical, catalytic and electrochemical characteristics will be investigated. Screening and additional modification of the synthesized nanomaterials by inorganic electron transfer mediators will be carried out and the electrochemical characteristics of the obtained nanocomposite materials	Scientific report	\$3.750	\$12.300

	and their compatibility with the BFCs			
	biocomponents will be investigated.			
Total Amount Requ	ested for this Reporting Period:	\$ 16.050	\$ 3.750	\$ 12.300
Third Quarterly Re	eporting Period	• • • • • • •	Mark all t	that apply
Milestone:	Description:	Associated Deliverable(s)	U.S. Sub- Team	Ukrainian Sub-Team
Construction, characterization of laboratory prototypes of BFCs, based on purified oxidoreductases and nanocomposites	New laboratory prototypes of enzymatic BFCs based on purified preparations of AO and laccase as well as nanoperoxidases and electron-mediated nanocomposites will be obtained and characterized. For BFCs, the possibility of creating the third-generation mediator-free enzyme bioelectrodes based on laccase in combination with nanomaterials will be investigated.		\$3.750	\$4.226
Analysis of Experimental Data	Both teams will conduct analysis on data collected by two institutions to combine them for the next researching stages	Scientific report	-	\$1.500
Total Amount Requ	ested for this Reporting Period:	\$ 13,450	\$ 3.750	\$ 5.726
Fourth Quarterly F	Reporting Period		Mark all t	hat apply
Milestone:	Descriptions			inat appiy
	Description:	Associated Deliverable(s)	U.S. Sub- Team	Ukrainian Sub-Team
Testing of the best selected BFCs for the powering of microelectronic chips	The optimized BFCs will be connected to the microelectronic sensor which be operated autonomously powered only from the BFC. The operational time of the microelectronic device powered with BFC will be analyzed in continuous mode.	Associated Deliverable(s) Scientific report	U.S. Sub- Team \$3.750	Ukrainian Sub-Team \$4.225
Testing of the best selected BFCs for the powering of microelectronic chips Travel to US Institution	The optimized BFCs will be connected to the microelectronic sensor which be operated autonomously powered only from the BFC. The operational time of the microelectronic device powered with BFC will be analyzed in continuous mode. Members of the Ukrainian team will visit their partner institution in the USA. The main objective is face-to- face interaction in providing joint experiments and future publications	Associated Deliverable(s) Scientific report Trip Report Travel documents (copies of boarding passes, visa, other receipts) Photos	U.S. Sub- Team \$3.750	Ukrainian Sub-Team \$4.225 \$17.494
Testing of the best selected BFCs for the powering of microelectronic chips Travel to US Institution Completion of manuscript to be submitted for publication and final CRDF-report	The optimized BFCs will be connected to the microelectronic sensor which be operated autonomously powered only from the BFC. The operational time of the microelectronic device powered with BFC will be analyzed in continuous mode. Members of the Ukrainian team will visit their partner institution in the USA. The main objective is face-to- face interaction in providing joint experiments and future publications The teams will work to prepare a final manuscript to be submitted for publication in the peer-reviewed journal and Final CRDF-report	Associated Deliverable(s) Scientific report Trip Report Travel documents (copies of boarding passes, visa, other receipts) Photos Copy of the manuscript Final CRDF-report	U.S. Sub- Team \$3.750	Ukrainian Sub-Team \$4.225 \$17.494 \$1.755

PI OTHER SOURCES OF SUPPORT FORM

PI Name	Mykhailo Gonchar						
If no other sources of s Otherwise, complete ta	□ "None"						
Project/Proposal Title	Development of biosensors and biofuel cells based on redox enzymes	Location of Research	Ukraine/Lithuania				
Support	$\sqrt{\text{Current}}$ \Box Pending Submiss	sion Planned in Near Futu	re				
Source of Support	Ministry of Education and Science of Ukraine	Level of Effort (%)	10 %				
Award Amount	\$ 8,000	Period Covered	03/20 – 12/22				

PI OTHER SOURCES OF SUPPORT FORM

PI Name Evgeny Katz					
If no other sources of s Otherwise, complete ta	If no other sources of support, check "None."				
,	()				
Project/Proposal Title	HFSP Collaborative Research Grant	Location of Research	Clarkson University		
Support	$\sqrt{1}$ Current \Box Pending Submiss	sion Planned in Near Futu	re		
Source of Support	Human Frontier Science Program	Level of Effort (%)	0%		
Award Amount	\$420,000	Period Covered	10/18 – 09/21		
Project/Proposal Title	EAGER: NSF-BSF: Quorum Biosensing using Magnetic Field- Activated Molecular Machines	Location of Research	Clarkson University		
Support	$\sqrt{1}$ Current \Box Pending Submiss	sion Planned in Near Futu	re		
Source of Support	National Science Foundation	National Science Foundation Level of Effort (%) 0%			
Award Amount	\$150,000	Period Covered	08/19 – 01/21		
Project/Proposal Title	Wearable-implantable Sensors for Continuous Monitoring of Biomarkers in Animals and Humans	Inplantable Sensors us Monitoring of in Animals and			
Support	\Box Current $\sqrt{Pending}$ \Box Pend	ding Submission Planned	in Near Future		
Source of Support	Queensland University of Technology (DOD-prime)	Queensland University of Technology (DOD-prime)Level of Effort (%)			
Award Amount	\$200,000	\$200,000 Period Covered 09/20 – 02/22			

PREVIOUS CRDF GLOBAL AWARD FORM

Individual forms should not exceed one page.

CRDF Global Award Number:	UKB2-9044-LV-10			
Title of Previous Project:	Production of trial series and preparation of technical documentation for bioanalytical kit for enzymatic analysis of L-lactate in clinical diagnostics and beverage industries			
Start Date	05/2011	End Date	05/2012	
Please briefly describe the previous research project. Be sure to provide specific information regarding results				

and objectives. Were all objectives of the research plan achieved? If not, what prevented you from doing so? Please list scientific publications and conference reports that were published as a result of CRDF Global award.

As a result of this CRDF-project, the optimization of a process of L-lactate cytochrome *c*-oxidoreductase (flavocytochrome b_2 , FC b_2) production and its isolation have been performed. It was obtained preparation with 70 units of enzyme activity that allows produce 3 000 "Lactatest" kits. Optimization of composition parameters of the "Lactatest" (concentration of enzyme, chromogen, and buffer), storage form of the kit's components and optimization of reaction conditions were done.

The manual (instruction) for using the proposed kit and description of laboratory technology to produce "Lactatest" were prepared according to the standards of Ukraine.

The trial series of the kit "Lactatest" was prepared and its testing on the real samples of food products (dairy products, juices, ketchups etc.) as well as human liquids was done. The analysis of L-lactate by the use of "Lactatest" in sweat samples obtained from the trained athletes was also performed. The correlation level for obtained results with the reference methods was done.

Several international publications with a high impact factor were published and acknowledgment of CRDF has been indicated.

The list of publications with affiliated CRDF-grant # UKB2-9044-LV-10:

1. Smutok O., Broda D., Smutok H., Dmytruk K., Gonchar M. (2011) Chromate reducing activity of the *Hansenula polymorpha* recombinant cells overproducing flavocytochrome *b*₂. *Chemosphere*, *83*(4), 449-454 (IF - **3.613**). <u>https://doi.org/10.1016/j.chemosphere.2010.12.078;</u>

2. Smutok O., Gayda G., Dmytruk K., Klepach H., Nisnevich M., Sibirny A., Puchalski C., Gonchar M., Sibirny V. Amperometric Biosensors for Lactate, Alcohols, and Glycerol Assays in Clinical Diagnostics / in epy "Biosensors - Emerging Materials and Applications", (2011), ISBN 978-953-307-328-6, pp. 401-446.

How will the work accomplished during this project contribute to the proposed research? Please address specific project results (data, models, methods) that the proposed project will further develop and/or build upon.

Due to the realization of the previous CRDF-project, a closer relationship between the scientific group of the Institute of Cell Biology, NAS of Ukraine and the company "Engineering Laboratory Ltd." was established. On the base of acquired experience, it will be easier to introduce our innovations into the practice, especially, in the fields of amperometric biosensors' and biofuel cell construction.

PREVIOUS CRDF GLOBAL AWARD FORM Individual forms should not exceed <u>one page.</u>

CRDF Global Award Number	UKB1-9048-KV-10				
Title of Previous Project:	Development of technology for production of recombinant human arginase as anticancer enzyme and element of biosensor for arginine				
Start Date	05/2011	End Date	05/2012		
Please briefly describe the previous research project. Be sure to provide specific information regarding results and objectives. Were all objectives of the research plan achieved? If not, what prevented you from doing so? Please list scientific publications and conference reports that were published as a result of CRDE Global award					

The objective of the previous CRDF-project was to develop an innovative technology for expression of recombinant human arginase in yeast host and its purification to homogenous state and to make this product commercially available. This enzyme is currently not commercially available but is of great interest for anticancer enzymotherapy (medicine) and as an element of novel biosensor for arginine (analytical biotechnology).

As a result of the project, we optimized the purification protocol for recombinant human arginase expressed in two species of yeasts, bakers' yeast *Saccharomyces cerevisiae* and methylotrophic yest *Hansenula polymorpha* to make it cost-efficient. We achieved production of the purified preparations of the recombinant arginase sufficient for the use in bioanalytical devices and for further manipulations to be used in animal studies and clinical trials (in PEGylated form).

Obtained in the frame of previous CRDF-project enzyme was used also for the other scientific investigations. As a result, some international publications with a high impact factor were published and acknowledgment of CRDF has been indicated.

The list of publications with affiliated CRDF-grant # UKB1-9048-KV-10:

1. Stasyuk N., Smutok O., Gayda G., Gonchar M., Koval'chuk. Y. (2011) A new bi-enzyme potentiometric sensor for arginine analysis based on recombinant human arginase i and commercial urease. *Journal of Materials Science and Engineering: A*, *1*, 819-827;

2. Stasyuk N., Smutok O., Gayda G., Koval'chuk. Y., Vus B., Gonchar M. (2012) Bi-enzyme L-arginine-selective amperometric biosensor based on ammonium-sensing polyaniline-modified electrode. *Biosens. & Bioelectron.*, 37(1), 46-52 (IF - **5.63**) https://doi.org/10.1016/j.bios.2012.04.031

Zakalskiy A,, Zakalska O., Rzhepetskyy Y., Potocka N., Stasyk O., Horak D., Gonchar M. (2012) Overexpression of (His)(6)-tagged human arginase I in *Saccharomyces cerevisiae* and enzyme purification using metal affinity chromatography. *Protein Expr Purif.*, *81*, 63-68. (**IF – 1.64**) DOI:10.1016/j.pep.2011.09.001

How will the work accomplished during this project contribute to the proposed research? Please address specific project results (data, models, methods) that the proposed project will further develop and/or build upon.

The methods developed during this project will be valuable for the proposed project, namely, for isolation of the enzymes from cell extracts and their purification using ion exchange and affinity chromatography. There will be also used methods for stabilization of the enzymes and their immobilization on the electrodes, proposed in the earlier project.

CRDF INSITUTIONAL DATA FORM (UKRAINE INSTIUTION)

Institution Name	Institute of Cell Biology				
Institutional Website	https://www.cellbiol.lviv.ua/				
Type of Organization	□ International Organization □ Government □ Corporation V University				
Small Business (US Orgs Only)	□ Small Business □ WOSB □ SDB □ HUB-Zone □ VOSB □ SDVOSB □ N/A				
DUNS Number	[565754314]	TIN/EIN (US Tax Liability Only)	[TIN/EIN]		

Organizations must have a DUNS number to receive federal funding. For help applying for a DUNS number and more guidance on completing this form, please <u>click here</u>.

Non-Profit/Charitable Status (Non-US Organizations Only)						
Is your organization registered as a charitable/non-profit entity? V Yes D						
Country of Registration	Ukraine	Registration #	17	1713044601024		

Financial Controls & Audits		
Did your organization expend more than US \$750,000.00 in U.S. Government Federal Funding (Grants, Contracts, Subgrants, Subcontracts) in the previous fiscal year? If yes, please provide a copy of your single audit report, which is required under 2 CFR 200.	□ Yes	V No
Have you been audited in the past 3 years? If yes, please send a copy of the current report.	□ Yes	√ No
Were there any material or significant findings in the audit report?	□ Yes	Ƴ No
Has your organization ever had a grant or contract terminated for cause?	□ Yes	Ƴ No
Does your organization utilize a financial manual to authorize expenses?	V Yes	□ No
Does your organization utilize an accounting system to track expenses?	V Yes	□ No
Does your organization have an ethics policy?	□ Yes	Ƴ No
Does your organization have a timekeeping system for labor such as timesheets?	V Yes	□ No
Will your organization use an institutional bank account to receive funding?	V Yes	□ No
Please identify the authorized institutional signatory for agreements: Sibirny A.A., Prof., Dr., DrSci. Director of Institute of Cell Biology, NAS of Ukraine		

Executive/Management	Reporting Requirements
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CRDF Global may be required to publicly report the names and total compensation of the five most highly compensated individuals at the awardees' institution. If you meet any of the criteria below, you are exempt from this requirement. Please find and check any applicable exemption:

1 In the previous tax year, institutional gross income from all sources was LESS than \$300,000.	Exempt
2 The institution received LESS than 80 percent of its annual gross revenues in U.S. federal funding (Contracts, Grants, Subgrants, Subcontracts or Loans).	V Exempt
3 The institution received LESS than \$25,000,0000 in annual gross revenues from U.S. federal funding sources (Contracts, Grants, Subgrants, Subcontracts or Loans).	V Exempt
4 Executive compensation is publicly reported under section 13(a) or 15(d) of the Security Exchange Act or section 6104 of the Internal Revenue Code.	Exempt
I do not meet any of the exemptions above. I will provide the names and total compensation of the five most highly compensated executives. <u>Click here</u> for more information.	□ <u>Not Exempt</u>

The information requested above must be provided in full and signed by an authorized institutional signatory, certifying that the information is true to the best of their knowledge. CRDF Global cannot proceed with an award to the institute without this information.

Past Performance					
Please list any applicable grants or contracts received from outside organizations. Successful completion is defined as zero suspensions or terminations for cause, audit findings or other discrepancies.					
Funding Source	Agreement Number	Total Funding	Successful Completion?	Type of Project	
NATO	CBP.NUKR.SFP 984173	59.500€	V Yes 🗆 No	Research Grant	
EU-Poland	IPBU.03.01.00- 18-452/11-00	47.555 \$	V Yes 🗆 No	Research Grant	
CRDF	UKB2-9044-LV- 10	9000 \$	V Yes 🗆 No	Research Grant	
CRDF	UKB1-9048-KV-	9000 \$	V Yes 🗆 No	Research Grant	

The information requested above must be provided in full and signed by an authorized institutional signatory, certifying that the information is true to the best of their knowledge. CRDF Global cannot proceed with an award to the institute without this information.



CRDF INSITUTIONAL DATA FORM (U.S. INSTIUTION)

Institution Name	Clarkson University				
Institutional Website	https://www.clarkson.edu/				
Type of Organization	□ International Organization □ Government □ Corporation ☑ University				
Small Business (US Orgs Only)	□ Small Business □ WOSB □ SDB □ HUB-Zone □ VOSB □ SDVOSB ☑ N/A				
DUNS Number	041590993	TIN/EIN (US Tax Liability Only)	15-0543659		

Organizations must have a DUNS number to receive federal funding. For help applying for a DUNS number and more guidance on completing this form, please <u>click here</u>.

Non-Profit/Charitable Status (Non-US Organizations Only)						
Is your organization registered as a charitable/non-profit entity?					□ No	
Country of Registration	USA	Registration #	15	15-0543659		

Financial Controls & Audits		
Did your organization expend more than US \$750,000.00 in U.S. Government Federal Funding (Grants, Contracts, Subgrants, Subcontracts) in the previous fiscal year? If yes, please provide a copy of your single audit report, which is required under 2 CFR 200.	⊠ Yes	□ No
Have you been audited in the past 3 years? If yes, please send a copy of the current report. (The report can be downloaded here: <u>FY2019</u>)	☑ Yes	□ No
Were there any material or significant findings in the audit report?	□ Yes	⊠ No
Has your organization ever had a grant or contract terminated for cause?	□ Yes	⊠ No
Does your organization utilize a financial manual to authorize expenses?	☑ Yes	□ No
Does your organization utilize an accounting system to track expenses?	☑ Yes	□ No
Does your organization have an ethics policy?	⊠ Yes	□ No
Does your organization have a timekeeping system for labor such as timesheets?	☑ Yes	□ No
Will your organization use an institutional bank account to receive funding?	☑ Yes	□ No
Please identify the authorized institutional signatory for agreements: Shannon Robinson, Ed.D. Associate Vice Provost for Research & Technology Transfer Clarkson University 315-268-7766		

Executive/Management Reporting Requirements					
CRDF Global may be required to publicly report the names and total compensation of the five most highly compensated individuals at the awardees' institution. If you meet any of the criteria below, you are exempt from this requirement. Please find and check any applicable exemption:					
1 In the previous tax year, institutional gross income from all sources was LESS than \$300,000.	□ Exempt				
2 The institution received LESS than 80 percent of its annual gross revenues in U.S. federal funding (Contracts, Grants, Subgrants, Subcontracts or Loans).	☑ Exempt				
3 The institution received LESS than \$25,000,0000 in annual gross revenues from U.S. federal funding sources (Contracts, Grants, Subgrants, Subcontracts or Loans).	Exempt				
4 Executive compensation is publicly reported under section 13(a) or 15(d) of the Security Exchange Act or section 6104 of the Internal Revenue Code.	□ Exempt				
I do not meet any of the exemptions above. I will provide the names and total compensation of the five most highly compensated executives. <u>Click here</u> for more information.					

The information requested above must be provided in full and signed by an authorized institutional signatory, certifying that the information is true to the best of their knowledge. CRDF Global cannot proceed with an award to the institute without this information.

Past Performance							
Please list any applicable grants or contracts received from outside organizations. Successful completion is defined as zero suspensions or terminations for cause, audit findings or other discrepancies.							
Funding Source	Agreement Number	Total Funding	Successful Completion?	Type of Project			
National Science Foundation	032221	200,000 USD	⊠ Yes 🗆 No	Research Grant			
National Science Foundation	100099	330,000 USD	⊠ Yes 🛛 No	Research Grant			
National Science Foundation	032570	450,000 USD	⊠ Yes 🛛 No	Research Grant			

The information requested above must be provided in full and signed by an authorized institutional signatory, certifying that the information is true to the best of their knowledge. CRDF Global cannot proceed with an award to the institute without this information.

Shannon Robinson, Ed.D.SignatureAssociate Vice Provost for Research &

February 03, 2021 Date

Technology Transfer

Address Phone E-Mail

8 Clarkson Avenue, CU Box 5630, Clarkson University, Potsdam, NY 13699-5630 315-268-7766 srs@clarkson.edu

SUGGESTED REVIEWERS AND REVIEWERS NOT TO INCLUDE (Optional)

Suggested Reviewers							
#	Name	Affiliation	Email	Brief Justification			
1.	Sergiy Minko	University of Georgia, USA	sminko@uga.edu	Expert in advanced functional materials			
2.	Michael J. Schöning	Aachen University of Applied Sciences, Germany	schoening@fh-aachen.de	Expert in modified electrodes and bioelectronics			
3.	Antonio López	Instituto de Catálisis y Petroleoquímica, CSIC, Spain	alopez@icp.csic.es	Expert in bioelectrochemistry, bioelectronics and biofuel cells			
4.	Sergey Shleev	Malmö University, Sweden	sergey.shleev@mah.se	Expert in bioelectrochemistry and biofuel cells			
5.							